### Item Count Techniques 2.0

Julian Jamison

University of Exeter & The World Bank

Measuring the Tricky things Oxford: October 12, 2018

### **Motivation**

Presumably don't need much here, but...

How can we get reliable data regarding

- violent criminal behavior?
- risky sexual behavior?
- bias against minority groups?
- gender-based violence?
- etc.

### **Motivation**

For my purpose here, what these have in common is a potential concern with respect to *privacy*, *shame*, fear of *consequences*, and so on

### **Motivation**

- For my purpose here, what these have in common is a potential concern with respect to *privacy*, *shame*, fear of *consequences*, and so on
- This technique will not be as useful when the concern is
  - respondent unaware of truth (e.g. profits)
  - sensitive... but internalized stigma and/or self-deception
  - desire to influence results / conclusions

### **Alternatives**

#### Randomized response techniques

- Coin flip with forced "yes" to sensitive question (may be difficult to admit, even if believe masked)
- Coin flip to decide which of two questions to answer
- Die roll for cardinal queries

### **Alternatives**

#### Randomized response techniques

- Coin flip with forced "yes" to sensitive question (may be difficult to admit, even if believe masked)
- Coin flip to decide which of two questions to answer
- Die roll for cardinal queries
- Qualitative `ground-truthing'

### **Alternatives**

#### Randomized response techniques

- Coin flip with forced "yes" to sensitive question (may be difficult to admit, even if believe masked)
- Coin flip to decide which of two questions to answer
- Die roll for cardinal queries
- Qualitative `ground-truthing'
- And of course many more, including careful survey design and deflection to e.g. "others like you"

# **Item Count Technique**

- Mask or veil the individual-level response by mixing the sensitive behavior or attitude with a number of other *innocuous* statements
- Aka unmatched count or list response

## **Item Count Technique**

- Mask or veil the individual-level response by mixing the sensitive behavior or attitude with a number of other *innocuous* statements
- Aka unmatched count or list response
- Dates to Raghavarao & Federer (1979)
- Used in psychology (Dalton et al. 1994)
- and political science (e.g. Corstange 2009)

# **Example from Uganda**

129	ONLY USE THIS IF LIST 1 = "A"	
127.	Please tell me how many of the following	
	statements are true for you, but not which ones	
	in particular	
	1. Your family owns a house	
	2. Your biological father is alive	NUMBER OF TRUE
	3. You raise goats	STATEMENTS
	4. You really like posho	
	WRITE 9 IF REFUSED TO ANSWER	
130.	<b>ONLY USE THIS IF LIST 1 = "B"</b>	
	Please tell me how many of the following	
	statements are true for you, but not which ones	
	in particular:	
	1. Your family owns a house	
	2. Your biological father is alive	
	3. You raise goats	
	4 You really like posho	
	5 The last time you had sexual	NUMBER OF TRUE
	intercourse a male condem was used	STATEMENTS
	intercourse, a mare condom was used.	STATEMEN IS
	WDITE A IE DEELGED TO ANGUED	
	WKITE 9 IF KEFUSED TO ANSWER	

### **Immediate issues**

Responses are not masked at boundaries

- Can minimize chance of this happening

### **Immediate issues**

Responses are not masked at boundaries

- Can minimize chance of this happening
- Use precious sample size to estimate distribution of innocuous questions
  - Can be a relatively small fraction (e.g. 10%)
  - or even out-of-sample from same population

### **Immediate issues**

Responses are not masked at boundaries

- Can minimize chance of this happening
- Use precious sample size to estimate distribution of innocuous questions
  - Can be a relatively small fraction (e.g. 10%)
  - or even out-of-sample from same population
- Only aggregate data for analysis
  - No: still individual level, just noisier (and not binary)
  - Can even refine on the basis of observables

## **Results: infidelity**

Ever unfaithful in the past three months? (%)

	Direct	Indirect	p-value*
Overall	13.3	18.9	0.04
Male	19.6	21.9	big
Female	7.1	16.3	0.02

\*one-sided

### **Results: safe sex**

Used a condom the last time you had sex? (%)

	Direct	Indirect	p-value*
Overall	24.3	24.0	really big
Male	29.6	22.5	0.06
Female	19.4	25.4	0.09
Single	45.5	32.4	0.01
Partnered	11.7	18.7	0.04

\*one-sided

NB: female results driven entirely by partnered

#### Should their topic matter be

- similar to the sensitive question? (hiding technique...)
- totally different? (increasing transparency and saliency)

#### Should their topic matter be

- similar to the sensitive question? (hiding technique...)
- totally different? (increasing transparency and saliency)
- No right answer, but my default is the latter
  - The whole point is to be clear how it is masked
  - More freedom to fine-tune, as on next slide

#### Should their topic matter be

- similar to the sensitive question? (hiding technique...)
- totally different? (increasing transparency and saliency)
- No right answer, but my default is the latter
  - The whole point is to be clear how it is masked
  - More freedom to fine-tune, as on next slide
- But: maybe not if salience could be a problem
  - E.g. unwilling to self-acknowledge; desire to distort data

Optimization of relevant properties

Tradeoff regarding how many:

- Too few doesn't mask as well, hits boundaries
- Too many takes time and increases errors

- Optimization of relevant properties
- Tradeoff regarding how many:
  - Too few doesn't mask as well, hits boundaries
  - Too many takes time and increases errors
- Ideally 50% "yes" for each
  - If e.g. two negatively correlated pairs (Glynn 2013), then reduce probability of boundary and also noise

Where should sensitive question appear in order?

Where should sensitive question appear in order?

Does the framing of sensitive question matter?

Indeed: better to have the sensitive response be "no"

Where should sensitive question appear in order?

Does the framing of sensitive question matter?

Indeed: better to have the sensitive response be "no"

#### Can we validate the technique?

- Tsuchiya *et al.* (2007) do a placebo test
- Blair *et al.* (2014) confirm similar results as 'endorsement experiment' which is quite distinct
- Generally at least compare direction of effect to theory

# Coffman *et al.* (2017)

- Roughly state-of-the-art, putting together many of the points discussed
- "ever had same-sex sexual experience"
  17.2% direct, goes up to 27.4% if veiled
- "should be illegal to discriminate against LGBT"
  - 85.6% direct, goes down to 75.3% if veiled

Ask the [possible] perpetrators, or the [possible] victims / survivors? Legal requirements?

- Ask the [possible] perpetrators, or the [possible] victims / survivors? Legal requirements?
- Role of technology, e.g. respondent holds tablet privately; items disappear off the screen; etc.
  - though NB Coffman paper was anonymous online sample

- Ask the [possible] perpetrators, or the [possible] victims / survivors? Legal requirements?
- Role of technology, e.g. respondent holds tablet privately; items disappear off the screen; etc.
  - though NB Coffman paper was anonymous online sample
- ICT may require higher sample size (cost), but avoids cost of training enumerators and of referrals

- Ask the [possible] perpetrators, or the [possible] victims / survivors? Legal requirements?
- Role of technology, e.g. respondent holds tablet privately; items disappear off the screen; etc.
  - though NB Coffman paper was anonymous online sample
- ICT may require higher sample size (cost), but avoids cost of training enumerators and of referrals
- Is it ethical to purposely avoid e.g. referrals? Is it ethical not to get realistic measure of what works?

## **'Meta'-level masking**

- Poor data in the US on gun ownership, and even more so fraction who have used (fired or threatened) a gun defending self / family / home
- Difficulty with the latter is that a subgroup may have incentive to exaggerate so that the results look bigger, for policy purposes

# **'Meta'-level masking**

- Poor data in the US on gun ownership, and even more so fraction who have used (fired or threatened) a gun defending self / family / home
- Difficulty with the latter is that a subgroup may have incentive to exaggerate *so that* the results look bigger, for policy purposes
- One idea is to prime them to think research is on voting, crime, or sexual behavior but then actually have the target question be as above...

## Conclusion

- There have also been criticisms of the method, including instances where it does not seem to have worked (as expected)
- Surely imperfect (e.g. usually only better, not actually right) but on the whole seems to be effective and useful

# Conclusion

- There have also been criticisms of the method, including instances where it does not seem to have worked (as expected)
- Surely imperfect (e.g. usually only better, not actually right) but on the whole seems to be effective and useful
- IMO tradeoffs are more around cost (time, money) and applicability to subset of sensitive topics
- Fortunately this gives us all something to do...